



PATENT  
Attorney Docket No. ASX-063

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANTS: Tracy et al. CONFIRMATION NO. 1681  
SERIAL NO.: 10/642,505 GROUP NO.: 2834  
FILING DATE: August 18, 2003 EXAMINER: Cuevas, Pedro, J.  
TITLE: CONTROL SYSTEM FOR A SPUTTERING SYSTEM

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Sir:

In accordance with the provisions of 37 C.F.R. 1.97 and 1.98, Applicants hereby make of record the patents and publications listed on the accompanying Form PTO-1449, and other information contained herein, for consideration by the Examiner in connection with the examination of the above-identified patent application. In accordance with the U.S. Patent Office's partial waiver of the requirement under 37 C.F.R. 1.98(a)(2)(i), only copies of the foreign patent documents and non-patent publications are enclosed.

REMARKS

In accordance with the provisions of 37 C.F.R., this statement is being filed (check one)

- ☐ (1) within three (3) months of the **filing date** of a national application other than a continued prosecution application under 37 C.F.R. 1.53(d), or within three (3) months of the **date of entry of the national stage** as set forth in 37 C.F.R. 1.491 in an international application, or before the mailing of the **first Office action** on the merits, or before the mailing of a **first Office action** after the filing of a request for continued examination under 37 C.F.R. 1.114; or
- ☒ (2) after the period defined in (1) but before the mailing date of a **final action** or a **notice of allowance** under 37 C.F.R. 1.311, and
- ☒ the requisite Statement is below, **OR**
- ☐ the requisite fee under 37 C.F.R. 1.17(p), namely **\$180.00**, is included herein, or

- ☐ (3) after the mailing date of a final action or notice of allowance but before the payment of the issue fee, **AND**
- ☐ the requisite Statement is below, **AND**
- ☐ the requisite fee under 37 C.F.R. 1.17(p), namely **\$180.00**, is included herein.

It is respectfully requested that each of the patents and publications listed on the attached Form PTO-1449, and other information contained herein, be made of record in this application.

### STATEMENT

As required under 37 C.F.R. 1.97(e), Applicant(s), through the undersigned, hereby state either that [check the appropriate space only if either (2) or (3) is checked on the previous page and the Statement is required]:

- ☒ 1. Each item of information contained in the Information Disclosure Statement was first cited in any communication from a foreign patent office in a counterpart foreign application **not more than three months** prior to the filing of the Information Disclosure Statement; or
- ☐ 2. No item of information contained in the Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing this Statement after making reasonable inquiry, no item of information contained in the Information Disclosure Statement was known to **any individual** designated in 37 C.F.R. 1.56(c) **more than three months** prior to the filing of the Information Disclosure Statement.

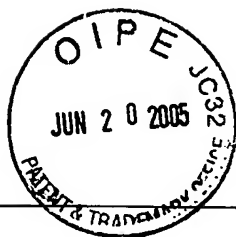
Respectfully submitted,



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|   |     |   |            |   |                 |              |                               |                  |                       |
|---|-----|---|------------|---|-----------------|--------------|-------------------------------|------------------|-----------------------|
| FORM PTO - 1449<br><br>SUPPLEMENTAL INFORMATION<br>DISCLOSURE STATEMENT |     |   |            | ATTY DOCKET NO.: ASX-063<br><br>APPLICANTS: Tracy et al.<br><br>SERIAL NO.: 10/642,505<br><br>FILING DATE: August 18, 2003<br><br>GROUP: 2834 |                 |              |                               |                  |                       |
| <b>U.S. PATENT DOCUMENTS</b>  |     |   |            |   |                 |              |                               |                  |                       |
| EXAM<br>INIT.   |     | DOCUMENT<br>NO.   | DATE       | NAME  | CLASS           | SUB<br>CLASS | FILING DATE IF<br>APPROPRIATE |                  |                       |
|   | A25 | 6,383,554   | 05/07/2002 | Chang et al.  | 427             | 10           | 09/05/2000                    |                  |                       |
|   | A26 | 2003/0213559  | 11/20/2003 | Goodman   | 156             | 345.28       | 05/20/2002                    |                  |                       |
|   |     |   |            |   |                 |              |                               |                  |                       |
|   |     |   |            |   |                 |              |                               |                  |                       |
|   |     |   |            |   |                 |              |                               |                  |                       |
| <b>FOREIGN PATENT DOCUMENTS</b>   |     |   |            |   |                 |              |                               |                  |                       |
| EXAM<br>INIT.   |     | DOCUMENT<br>NO.   | DATE       | COUNTRY<br>CODE   | CLASS           | SUB<br>CLASS | FILING<br>DATE                | ABSTRACT<br>ONLY | ENGLISH<br>LANG (Y/N) |
|   | B2  | DE 44 20 951  | 12/21/1995 | DE  |                 |              |                               | NO               | NO                    |
|   | B3  | EP 0 957 184  | 11/17/1999 | EP  |                 |              |                               | NO               | YES                   |
|   |     |   |            |   |                 |              |                               |                  |                       |
|   |     |   |            |   |                 |              |                               |                  |                       |
|   |     |   |            |   |                 |              |                               |                  |                       |
| <b>OTHER ART, JOURNAL ARTICLES, ETC.</b>                                |     |   |            |   |                 |              |                               |                  |                       |
| EXAM<br>INIT.   |     | OTHER DOCUMENTS: (Including Author, Title, Date, Relevant Pages, Place of Publication)  |            |   |                 |              |                               |                  |                       |
|   | C4  | International Search Report for International Patent Application Serial No. PCT/US2004/026684, dated May 10, 2005 (11 pages). |            |   |                 |              |                               |                  |                       |
|   |     |   |            |   |                 |              |                               |                  |                       |
|   |     |   |            |   |                 |              |                               |                  |                       |
|   |     |   |            |   |                 |              |                               |                  |                       |
| EXAMINER  |     |   |            |   | DATE CONSIDERED |              |                               |                  |                       |